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Attorney Docket No: U017 (BPAY.0010010)

PATENT

111 2 5 2005 AN THE UNITED STATES PATENT AND TRADEMARK OFFICE

if fe application of

Robinson et al.

Serial No.:

10/829,448

Examiner:

Pierre Elisca

Confirmation No.:

6320

Art Unit:

3621

Filed:

04/22/2004

For:

A SYSTEM AND METHOD FOR BIOIMETICALLY-INITIATED REFUND

TRANSACTIONS

Commissioner for Patents Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97(c)

In accordance with the duty of disclosure set forth in 37 C.F.R. §1.56, Applicant(s) hereby submits the following information in conformance with 37 C.F.R. §§1.97 and 1.98.

- Pursuant to 37 C.F.R. §1.98, a copy of each document cited in the attached Form PTO/SB/08A is enclosed.
- [X] Pursuant to the U.S. Patent and Trademark Office's waiver of 37 C.F.R. §1.98(a)(2)(i) for applications filed after June 30, 2003, no copies of U.S. patents and patent applications cited in the attached Form PTO/SB/08 are being provided.
- [X] No copies of publications B1 and C1-C3 listed on the attached Form PTO/SB/08A are being provided pursuant to 37 C.F.R. §1.98(d) because the publications were previously cited by or submitted to the Office in prior Application Serial No. 10/251,305, filed September 20, 2002 to which the above-identified application claims priority under 35 U.S.C. §120.
- Publication(s) ___ listed on the attached Form PTO/SB/08A were cited in a foreign search or examination report corresponding to ___ application serial no. ___ and mailed on ___.
- [] Enclosed is a copy of a non-English publication(s) ____. Pursuant to §609 of the M.P.E.P., Applicant submits the attached foreign search or examination report, which cites such non-English language publication(s).

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Appl. No.: 10/829,448 Inventor: Robinson et al. Page 2 of 2

[X] The Examiner's attention is directed to co-pending patent Application Serial No. 11/141,033, filed June 1, 2005, which is directed to related technical subject matter. The identification of this U.S. Patent Application is not to be construed as a waiver of secrecy as to that application now or upon issuance of the present application as a patent. The Examiner is respectfully requested to consider the cited application and the art cited therein during examination of the present application..

This Information Disclosure Statement is filed after the period specified in 37 C.F.R. § 1.97(b), but before the mailing of a final action under 37 C.F.R. §1.113; a notice of allowance under 37 C.F.R. §1.311; or an action that otherwise closes prosecution in this application. In accordance with 37 C.F.R. §1.97(c) also enclosed is:

- [X] Fee under 37 C.F.R. §1.17(p) in the amount of \$180.00; or
- Statement as specified in 37 C.F.R. §1.97(e):
 - [] Each item of information contained in the Information Disclosure Statement cited herein was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing date of the Information Disclosure Statement; or
 - [] No item of information contained in the Information Disclosure Statement submitted herewith was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned, having made a reasonable inquiry, no item of information contained in the Information Disclosure Statement was known to any individual designated in 37 C.F.R. §1.56(c) more than three months prior to the filing date of the Information Disclosure Statement.

It is respectfully requested that the Examiner consider the above-noted information and return an initialed copy of the attached Form PTO/SB/08A to the undersigned.

Respectfully submitted,

Duane S. Kobayashi

Reg. No. 41122

Dated: 7(25/05

By:

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\	Application Number	10/829,448	-			
INFORMATION DISCLOSURE	Filing Date	04/22/2004	-			
STATEMENT BY APPLICANT	First Named Inventor	ROBINSON	-			
(use as many sheets as necessary)	Group Art Unit	3621	-			
(use us many sheets as necessary)	Examiner Name	Pierre Elisca	-			

Attorney Docket Number

U017 (BPAY.0010010)

U.S. PATENT DOCUMENTS							
Examiner Initials*	Cite No. ¹	U.S. Patent Document Number Kind Code ² (if known)		Name of Patentee or Applicant of Cited Document	Date of Publication of Cite Document MM-YYYY		
	Al	6,873,969	B2	Stone et al.	03-2005		
	A2	6,694,300	B1	Walker et al.	02-2004		
	A3	6,028,950		Merjanian	02-2000		
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FOREIGN PATENT DOCUMENTS										
Examiner Initials*	Cite No. 1		Foreign Patent Doo	cument		Date of Publication				
initiais*	NO.	Office ³	Number ⁴	Kind	Name of Patentee or Applicant of Cited Document	of Cited Document MM-YYYY	T ⁶			
				(if known)						
	Bl	EP	0598469	A2	Dunlevy	05-1994				

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^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Unique citation designation number.

See attached Kinds of U.S. Patent Documents.

Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Filing Date	04/22/2004				
				First Named Inventor	ROBINSON				
				Group Art Unit	3621				
	(use as man	y sheets a.	s necessary)	Examiner Name	Pierre Elisca				
Sheet	2	of	2	Attorney Docket Number	U017 (BPAY.0010010)				

Examiner	Cita	OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS Include name of the author (in CARITAL LETTERS), title of the article (when corresponds), title of the item (heal).	T ⁸
Initials*	Cite No. ⁷	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T
	C1	Washington Post - For Dining In, Security Is the Order of the Day; Post 9/11 Concerns Thwarting Deliverymen: [Final Edition], 2004.	
	C2	MophoTouch™ - Multi-Application Finger Identification in the Palm of Your Hand, Sagem Morpho, Inc., 1999.	
	СЗ	JAMES P. HOLMES et al. A Performance Evaluation of Biometric Identification Devices. Sandia Report. June 1991	
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^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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